



WealthStation Trading User Guide

Guide to WealthStation Trading

User Overview

All Users Will Benefit With WealthStation Trading:

USER	WEALTHSTATION TRADING FEATURES OF INTEREST
Full-service employees	Equity and option trading, account management, basket trading
Independent reps	Book reporting, trading, market data
RIAs	Performance reporting, portfolio rebalancing, client reporting
Licensed bankers	Mutual fund trading, account management, snapshot quotes
Supervisory managers	Office level reporting
Money managers	Block trading, asset allocation
Assistants	Shadow access, electronic account opening, reporting
Clients	Client access

Why WealthStation Trading: Legent Clearing offers SunGard's WealthStation Trading, a robust and innovative front-office broker workstation with extensive capabilities. You can help grow your business and provide superior service to your clients by accessing this powerful, browser-based solution from one of the industry's leading providers. To assist you with selecting which WealthStation Trading package to utilize, we have provided the following User Overview and Feature Details. For further assistance in making your selection, please contact your account manager.

The Different WealthStation Trading Packages – Technology Made to Match Your Business:

Silver Level: This package offers a reliable software tool for placing trades and accessing account information.

Who would benefit: Investment professionals who need a basic, lower-cost broker workstation and who do not require reporting or advanced functionality.

Gold Level: This package offers innovative functionality, such as customized reporting and advanced trading capabilities.

Who would benefit: Investment professionals seeking to leverage technology that can help them efficiently manage and grow their businesses.

Platinum Level Overview: This premiere package offers extensive functionality, such as portfolio rebalancing, performance reporting and block trading, all fully bundled into one robust front-office software tool.

Who would benefit: Financial professionals with high-touch services, advanced requirements, or office management or supervisory responsibilities.

Customize Your Broker Workstation.

WealthStation Trading offers a private-label design. Firms can customize the design of the broker workstation to be consistent with their own brand.



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Feature Details

All Users Will Benefit With WealthStation Trading:

SNAPSHOT QUOTES	Platinum	Gold	Silver
Real-time quotes	■	■	■
Delayed quotes	■	■	■
Real-time option chains	■	■	■
Delayed option chains	■	■	■
Real-time watch lists	■	■	■
Delayed watch lists	■	■	■

* Please note that real-time quotes are available upon request. Additional fees may apply.

TRADING	Platinum	Gold	Silver
Stock trade	■	■	■
Option trade	■	■	■
One-click cancel	■	■	■
Modify orders	■	■	■
Beta order numbers	■	■	■
Books and records compliance	■	■	■
Mutual fund purchase	■	■	■
Mutual fund exchange	■	■	■
Mutual fund redemptions	■	■	■
Breakpoint compliance fields	■	■	■
Commission overrides	■	■	■

REAL-TIME ACCOUNT INFO	Platinum	Gold	Silver
Real-time basket status	■	■	■
Pop-up executions	■	■	■
Real-time open orders	■	■	■
Real-time executions	■	■	■
Real-time UR outs	■	■	■
Real-time balances	■	■	■
Real-time positions	■	■	■
Positions marked to market	■	■	■
Asset allocation	■	■	■
Bar charts	■	■	■
Pie charts	■	■	■
Real-time activity	■	■	■
Unrealized tax lots	■	■	■
Realized tax lots	■	■	■
Automatic schedule D	■	■	■
Short/long-term gain calculations	■	■	■
Sector distribution of portfolio	■	■	■
Projected cash flow	■	■	■
Cash flow drilldown	■	■	■
Previous month's cash flow	■	■	■

STATEMENT/TAX DOCS	Platinum	Gold	Silver
Electronic confirms	■	■	■

ACCOUNT MAINTENANCE	Platinum	Gold	Silver
Account wizard	■	■	■
Customer name and address	■	■	■
Document center	■	■	■
Household aggregation	■	■	■
Household creation	■	■	■
Tax ID search	■	■	■
Short name search	■	■	■
View all accounts	■	■	■
Edit preferences	■	■	■
Password changes	■	■	■
Email notifications	■	■	■
Fax notifications	■	■	■
Voice notifications	■	■	■
Group creation	■	■	■
Check request	■	■	
Check request status	■		
Electronic account opening	■		
ACH status	■		
Bank profiles	■		
Add ACH request	■		
Outlook contact creation	■		

NAVIGATION & INTERFACE	Platinum	Gold	Silver
Information wizard	■	■	■
Dynamic presentation layer	■	■	■
Pulldown cascading menus	■	■	■
Symbol lookup	■	■	■
Account type auto selector	■	■	■
Download to excel	■	■	■
Printable screen formats	■	■	■
Pop-up calendars	■	■	■

REPORTS	Platinum	Gold	Silver
Commission report	■	■	
Stock record report	■	■	
Moneyline report	■		
Open orders report	■	■	
Activity report	■	■	
Trade blotter	■	■	
Advanced report filters	■	■	
Report column reordering	■	■	
Stationary headers	■	■	
Group drilldown reports	■		
Fixed format reports	■		
Collapsible data elements	■		
% of holdings positions report	■		
% of MV positions report	■		
Filter positions by security type	■		

ADVANCED TRADING	Platinum	Gold	Silver
Basket trading	■	■	
Percentage order entry	■	■	
Quick order entry multiple trade	■	■	
Power trading	■	■	
Easy click sell off	■		
Easy click open order cancel	■		
Block/bunched trading	■		
Inventory trading	■		
Trailing stops	■		

COMPLEX OPTIONS	Platinum	Gold	Silver
Spread	■		
Straddle	■		
Combination	■		
Covered write	■		
Ratio spread	■		
Collar spread	■		
Strip/strap	■		
Butterfly spread	■		
Box spread	■		
Married put	■		
Buy write	■		

PORTFOLIO REBALANCING	Platinum	Gold	Silver
Asset tree builder	■		
Exclusion lists	■		
View by asset class	■		

FEATURE: OSJ/OFFICE LEVEL	Platinum	Gold	Silver
OSJ Reporting levels	■		
Drilldown by rep	■		
Office code search	■		

ADVANCED USER PROFILE MANAGEMENT	Platinum	Gold	Silver
Shadow login	■		
Session report	■		
PDF client reports	■		

PERFORMANCE REPORTING	Platinum	Gold	Silver
Performance reporting	■		

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